

Annex to the MIFID Questionnaire for a Client who is a legal person or an organisational unit without a legal personality

INFORMATION ON PERSONAL DATA PROCESSING

(addressed to representative(s) of prospective Clients in connection with their completion of the MIFID Questionnaire)

In connection with your transfer of personal data, to fulfil the information obligation under Art. 13 of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (**General Data Protection Regulation**) ("GDPR"), Noble Securities SA with its registered office in Warsaw ("**Noble Securities**", "**NS**", "**Brokerage House**"), hereby informs you of the following:

1. Name and contact details of the Controller:

Noble Securities S.A. with its registered office in Warsaw, Rondo Ignacego Daszyńskiego 2C, 00--843 Warsaw, KRS 0000018651 is the Controller of your personal data.

2. Contact details of the data protection officer:

Data Protection Officer, Noble Securities S.A., Rondo Ignacego Daszyńskiego 2C, 00--843 Warsaw, e-mail address: iod@noblesecurities.pl

3. Objectives and legal basis for the processing of your personal data:

Your personal data will be processed in accordance with:

- 1) Art. 6(1)(b) of the GDPR – to represent a Client or potential Client of NS
- 2) Art. 6(1)(c) of the GDPR – to fulfil a legal obligation of NS, including in particular under the provisions of Commission Delegated Regulation (EU) 2017/565 of 25 April 2016.

4. Legitimate interests pursued by Noble Securities SA:

NS does not pursue legitimate interests by processing your personal data.

5. Information about the categories of recipients of your personal data:

- 1) persons authorised by NS;
- 2) entities processing personal data under the agreement for personal data processing signed by NS and persons authorised by these entities,
- 3) entities to which NS provided personal data based on the law.

6. Information on the intention to transfer your personal data to a third country or international organization:

NS does not intend to transfer your personal data to any third country or international organization.

7. Period during which your personal data will be stored:

For 10 years and additional 6 months from the termination of the agreement. If the MIFID Questionnaire is completed, but no agreement is concluded, the prospective Client's personal data will be stored for up to 6 months from the date of completion of the MIFID Questionnaire.

8. Information on your rights related to the processing of your personal data:

- 1) the right to request access to your personal data from NS;
- 2) the right to rectify your personal data;
- 3) the right to erase your personal data;
- 4) the right to restrict the processing of your personal data;
- 5) the right to object to the processing of your personal data,
- 6) the right to data portability,
- 7) the right to lodge a complaint with a competent supervisory authority in the area of personal data protection (currently the Inspector General for Personal Data Protection).

9. Information whether the provision of personal data is a statutory or contractual requirement, or a requirement necessary to enter into a contract, as well as whether you are obliged to provide personal data and on the possible consequences of failure to provide such data:

Your provision of personal data is voluntary, but it is a condition for representation of the Client/prospective Client before NS, and the consequence of failure to provide them is the inability to represent the Client/prospective Client before NS.

10. Information on automated decision-making, which is based solely on automated processing, including profiling, and which produces legal effects concerning the data subject or similarly significantly affects him or her, meaningful information about the logic involved, as well as the significance and the envisaged consequences of such processing for the data subject:

NS is not involved in such automated decision-making when processing your personal data.