NOBLE SECURITIES
DOM MAKLERSKI

INSTRUCTION TO TRANSFER FINANCIAL INSTRUMENTS IN CONNECTION WITH THE TRANSFER OF THE OWNERSHIP TITLE TO FINANCIAL INSTRUMENTS

This statement is an instruction to transfer financial instruments ("**FI**") from a securities account ("**Account**") or from a sponsor register or a register of bonds or investment certificates kept by Noble Securities S.A. ("**NS**") in connection with the **TRANSFER OF THE OWNERSHIP TITLE** to FI to an Account maintained by NS or another brokerage house or bank conducting brokerage activities.

Client Data – data of the Account holder/sponsor register/record at NS:

Client ID in NS	
Client's given name and surname/ company name	
PESEL no. (if none, enter date of birth) / **KRS no./ number in another register**	
Number of Client's Account/FI recorded in a sponsor register or a record of bonds or investment certificates[1]	— —
LEI code (or another transaction reporting ID)[2]	
LEI code[2] **renewal (expiry) date**	

Instruction given	☐ **in person**	☐ **by phone** (only possible if the FI are transferred from an Account and an instruction is given to NS; if documents confirming the legal title of the transfer of ownership of the FI have already been submitted to NS)	
Submitted by	☐ **Account holder**	☐ **authorised Account user**	☐ **representative**

Attorney data – if the instruction is given by an attorney:

Given name and surname / name of the attorney giving the instruction	
PESEL no. (if none, enter date of birth) / **KRS no./ number in another register**	
LEI code (or another transaction reporting ID)[2]	
LEI code[2] **renewal (expiry) date**	

I hereby request that the following FI be transferred from the above-mentioned Account/sponsor register or a record of bonds or investment certificates[1] of the Client for a fee of PLN _____; I request that the fee be charged to the above-mentioned Account[3]:

No.	Financial instrument	ISIN code	Number (in digits)	Number (in words)
1.				
2.				
3.				
4.				

To the following Account (put an X)	☐ maintained by NS	☐ **NOT** maintained by NS

Given name and surname (name) of the holder of the Account to which the above FI are to be transferred	
PESEL/LEI code (or another transaction reporting ID)[4]	
LEI code[4] **renewal (expiry) date**	
Name of the brokerage house/bank keeping the Account	
Account number	

Legal title of the transfer of ownership:	

NS will execute the instruction provided that the holder of the FI, their attorney or the buyer/recipient[4] provides a transaction reporting ID. If the FI are transferred to an account maintained by NS, NS will execute the instruction, provided that the buyer/recipient of the FI presents a transaction reporting ID.

To be signed by the Client/Client's attorney _____ _____ _____
Signature Place Date
(In the case of legal persons or organisational units, the representative(s) must also append their name stamp)

Employee's statement: I confirm that the Client/attorney/representative has presented their original document of identity. I confirm that the Client's/attorney's/representative's data in the instruction is the same as the data in their document of identity I confirm that the Client/attorney/representative appended their signature in my presence (except for instructions given by phone).

To be signed by an Employee of Noble Securities S.A./Noble Securities S.A. Agent _____, date: _____
(the Employee also appends their **name stamp**, and the Employee of a Noble Securities S.A. **Agent additionally appends the stamp of the** Agent's **Branch**)

1 – delete as appropriate; if the instruction is given from a sponsor register or a record of bonds or investment certificates kept by NS for the Client, the Client is not required to provide the number of that register/record.
2 – the requirement to provide a LEI code concerns legal persons, organisational units without a legal personality and natural persons conducting business activity who use brokerage services as part of that business activity; another transaction reporting ID is to be provided by foreign natural persons not conducting business activity.
3 – if the FI are transferred from a sponsor register or a record of bonds or investment certificates, the Client is required to pay the fee to NS' bank account.
4 – the buyer/recipient of the FI is not required to provide an ID only if the FI are transferred to an account not maintained by NS.