NOBLE SECURITIES
DOM MAKLERSKI

INFORMATION ON PERSONAL DATA PROCESSING
(Client – inheritor)

In connection with your transfer of personal data, to fulfil the information obligation under Art. 13 of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) ("**GDPR**"), Noble Securities SA with its registered office in Warsaw (**"Noble Securities", "NS", "Brokerage House"**), hereby informs you of the following:

1. **Name and contact details of the Controller:**
   The Controller of your personal data is Noble Securities S.A. with its registered office in Warsaw, Rondo Ignacego Daszyńskiego 2C, 00-843 Warsaw, KRS 0000018651.
2. **Contact details of the data protection officer:**
   Data Protection Officer, Noble Securities S.A., Rondo Ignacego Daszyńskiego 2C, 00-843 Warsaw, e-mail address: iod@noblesecurities.pl
3. **Objectives and legal basis for the processing of your personal data:**
   Your personal data will be processed in accordance with:
   1) Article 6(1)(b) of the GDPR – for the performance by NS of an inheritance agreement to which you are a party;
   2) Article 6(1)(c) of the GDPR – to fulfil the legal obligations of NS, including those related to counteracting money laundering and terrorist financing, submitting FATCA and CRS declarations, drawing up tax documents and reporting, including transactions.
4. **Legitimate interests pursued by Noble Securities SA:**
   NS does not pursue legitimate interests by processing your personal data.
5. **Information about the categories of recipients of your personal data:**
   1) persons authorised by NS;
   2) entities processing personal data under the agreement for personal data processing signed by NS and persons authorised by these entities;
   3) entities to which NS provided personal data based on the law;
   4) co-controllers of NS and persons authorised by these entities.
6. **Information on the intention to transfer your personal data to a third country or international organization:**
   NS does not intend to transfer your personal data to any third country or international organization.
7. **Period during which your personal data will be stored:**
   For the period referred to in Article 118 of the Civil Code and an additional 6 months, from the day when NS performs the inheritance agreement to which you are a party (currently: 10 years and 6 months).
8. **Information on your rights related to the processing of your personal data:**
   1) the right to request access to your personal data from NS;
   2) the right to rectify your personal data;
   3) the right to erase your personal data;
   4) the right to restrict the processing of your personal data;
   5) the right to object to the processing of your personal data;
   6) the right to data portability;
   7) the right to lodge a complaint with a competent supervisory authority in the area of personal data protection (currently the Inspector General for Personal Data Protection).
9. **Information whether the provision of personal data is a statutory or contractual requirement, or a requirement necessary to enter into a contract, as well as whether you are obliged to provide personal data and on the possible consequences of failure to provide such data:**
   The provision of your personal data by you is related to the performance by NS of an inheritance agreement to which you are a party; the provision of the personal data is voluntary, but is required for NS to perform said agreement, and if the data is not provided, NS will not be able to perform said agreement.
10. **Information on automated decision-making, which is based solely on automated processing, including profiling, and which produces legal effects for the data subject or similarly significantly affects him or her, information about the logic involved, as well as about the significance and the envisaged consequences of such processing for the data subject:**
    Based on your personal data, NS makes automated decisions, including profiling, which is the processing of your personal data to assess your personal characteristics. NS profiles your personal data, in particular, in order to fulfil its legal obligations in connection with preventing money laundering and terrorism financing. Such decisions are necessary for the conclusion or performance of the agreement with NS. These decisions are taken on the basis of an assessment of the information made available by you or on the basis of information available in public registers. The decisions affect NS' ability to provide services for you.

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INSTRUCTION TO TRANSFER FINANCIAL INSTRUMENTS

FOR THE INHERITOR

This statement is an instruction to transfer financial instruments from the securities account/sponsor register of the testator, maintained by Noble Securities S.A., to the inheritor's securities account maintained for the inheritor by Noble Securities S.A. or another investment firm.

Data of the TESTATOR (deceased person) who held a securities account/sponsor register at Noble Securities S.A.:

Given name and surname	
PESEL no. (if there is no PESEL – date of birth)	
Securities account number/financial instruments in the sponsor register*	**0 0 - - -**

Data of the INHERITOR giving the following instruction:

Given name and surname of the inheritor giving the instruction	
PESEL no. (if there is no PESEL - date of birth)	
ID document series and number (ID card/passport* series and number)	
Telephone number	

INHERITOR'S statement:

I request the transfer of the following financial instruments from the above securities account/sponsor register* of the TESTATOR, for a fee as per the Table of Fees and Commissions of Noble Securities S.A.**:

No.	Financial instrument	ISIN code	Number (in digits)	Number (in words)
1.				
2.				
3.				
4.				

to the following securities account, **of which I am the holder**:

Number of the INHERITOR'S securities account maintained by Noble Securities S.A. or another investment firm	
Name of the investment firm maintaining the securities account	

Legal title for the transfer of financial instruments attached to this instruction
☐ Court decision (legally binding) ascertaining the acquisition of inheritance and Inheritance Division Agreement
☐ Certificate of inheritance and Inheritance Division Agreement
☐ Other*: _____

INHERITOR'S signature _____ _____ _____
Signature Place Date

Employee's statement: I confirm that the Inheritor has presented to me with their original document of identity. I confirm the compliance of the Inheritor's data in the instruction with the data in the document of identity. I confirm that the Inheritor appended their signature in my presence.

Signature of an Employee of Noble Securities S.A. /of an Agent of Noble Securities S.A. _____,
date_____

(the Employee also appends their **name stamp**, and the Employee of a Noble Securities S.A. **Agent additionally appends the stamp of the** Agent's **Branch**)

_____
Stamp of the Noble Securities S.A. Agent's

* delete as appropriate

** The Inheritor shall be obliged to pay the fee to the bank account of Noble Securities S.A.